Strengthening national capacities for safeguarding intangible cultural heritage

Training of trainers workshop   
Havana, Cuba  
28 March to 1 April 2011

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Overview of the capacity-building strategy

## Strengthening National Capacities for Safeguarding Intangible Cultural Heritage: UNESCO’s Strategy for 2010-2011 and Beyond

### intangible cultural heritage in latin america and the caribbean – early 2011

# **chart**

Figure 1: 134 States Parties today, including 27 States from 33 States of Electoral Group III

|  |  |
| --- | --- |
| **States Parties from Latin America and the Caribbean (27 States)** | |
| Argentina |  |
| Barbados |  |
| Belize |  |
| Bolivia (Plurinational State of) |  |
| Brazil |  |
| Chile |  |
| Colombia |  |
| Costa Rica |  |
| Cuba | Committee Member: 2008-2012 |
| Dominica |  |
| Dominican Republic |  |
| Ecuador |  |
| Grenada | Committee Member: 2010-2014 |
| Guatemala |  |
| Haiti |  |
| Honduras |  |
| Jamaica |  |
| Mexico |  |
| Nicaragua | Committee Member: 2010-2014 |
| Panama |  |
| Paraguay | Committee Member: 2008-2012 |
| Peru |  |
| Saint Lucia |  |
| Saint Vincent and the Grenadines |  |
| Trinidad and Tobago |  |
| Uruguay |  |
| Venezuela (Bolivarian Republic of) | Committee Member: 2008-2012 |

### Why capacity building?

* Request from States Parties and the Intergovernmental Committee to the Secretariat of the Convention to organize capacity building activities
* ICH safeguarding – in particular safeguarding it in the spirit of the Convention – is a new subject in many countries
* Lack of human resources or technical know-how necessary to implement the Convention effectively

### Regular programme for 2010-2011

Excerpt from the 35 C/5:

(…) the assistance given to States Parties in the form of policy advice and capacity-building (…) will also be a key priority, in order that the principles of the Convention can be put into effect at the national, and notably the legislative level

### Extrabudgetary resources being mobilized by ITH

Extra-budgetary resources total some US$9.1 million available in 2010-12

Preliminary earmarking (subject to donor wishes):

* Africa US$2.3 million
* Asia and the Pacific US$1.8 million
* Latin America and Caribbean US$1.4 million
* Arab States US$900,000
* Eastern Europe US$75,000
* Global US$2.7 million

### Aims of the capacity-building activities

* To enhance capacities in beneficiary countries to safeguard their intangible cultural heritage
* To enhance the capacities of beneficiary countries to benefit from mechanisms of international cooperation and assistance established by the Convention

### Four priority themes (almost) ready

* Ratification of the Convention
* Implementation of the Convention at the national level
* Community-based ICH inventorying
* Preparing nominations to the Urgent Safeguarding List

### Additional themes to be ready in 2011

* Preparing requests for International Assistance
* Preparing nominations to the Representative List
* Participation of NGOs and civil society in implementing the Convention
* Preparation of periodic reports on implementation of the Convention by States Parties

### Ratification of the Convention

One-day or two-day workshop

* Clarifies reasons to ratify the Convention
* Details processes and mechanisms for successful ratification
* Provides guidance in solving problems in ratifying the Convention
* Explains the importance of legal or policy reform to integrate ICH safeguarding

### Implementation at the national level

One-day, three-day or five-day workshop

Provides an overview of the 2003 Convention:

* Its objectives
* Key safeguarding concepts
* Obligations of States Parties
* Recommendations to States Parties
* Involvement of communities and other actors
* Mechanisms for international cooperation

Provides a platform for:

* Sharing past and on-going experiences of safeguarding
* Collective reflection on experiences and challenges in safeguarding ICH
* Discussion on sustainable development and ICH

### Community-based ICH inventorying

Eight-day to ten-day workshop

* Details the essential features of inventorying under the Convention, i.e. with the fullest possible participation of the people/community concerned
* Clarifies how inventorying contributes to safeguarding
* Provides training on practical technical skills in inventory-making, including a fieldwork practicum

### Preparing nominations to the Urgent Safeguarding List

Five-day workshop

* Uses simulated nominations and walks participants through the process of examination
* Provides understanding of what a good and complete nomination is through participant's own examination of sample files
* Provides practical experience in developing safeguarding plans
* Emphasizes community participation
* Explains the principle of free, prior and informed consent
* Produces a core of knowledgeable experts able to develop nominations and assess their adequacy

### Modular structure

* Workshops designed to be adapted to diverse situations, settings and audiences
* Trainers can easily introduce new content from local or regional perspective
* Each unit supported by lesson plan, handouts, reference materials
* High-tech, medium-tech and low-tech editions

### Audiences

* Actors at the heart of the Convention:
* Policy-oriented audience for workshop on ratification
* Ministry and local officers, experts, NGOs for workshops on implementation and preparing nominations
* Officers, experts and community members for workshop on inventorying
* Intensive workshops require serious commitments from participants
* Availability and willingness to continue implementing activities after the end of the workshop (e.g. inventories, nominations)
* Respect for parity between genders

### Pedagogical approaches

* Participation of pedagogical experts in shaping the training modules
* Emphasis on interactivity
* Simulations and role-playing activities to reinforce learning
* Quizzes to test and reinforce knowledge of participants

### Graphic design

Training materials designed using a tool kit, in order to facilitate:

* Adaptation to specific needs, regional characteristics or national contexts
* Updating and/or revision of texts and materials
* Translation and customization to local requirements

### Languages

* Materials will initially be available in English and French
* Spanish and Arabic to follow soon after (following testing phase)
* Other languages to be available later, as needed
* No restriction on future translations

### Training of trainers, region by region

* Six workshops around the world in January to April 2011, each lasting five days
* Organized in close collaboration with UNESCO field offices
* Participation of ten regional trainers in each workshop
* Trainers will be available to roll out the workshops from April 2011 onwards

*Dates:*

* 10 to 14 January: Beijing
* 24 to 28 January: Harare
* 30 January to 4 February: Libreville
* 14 to 18 March: Sofia
* 28 March to 1 April: Havana
* 10 to 14 April: Abu Dhabi

### Regional and national workshops and activities

* Are being organized globally from March 2011 onwards
* To be conducted by the trained regional trainers
* Implemented primarily by national counterparts
* Implemented through UNESCO field offices

### Regional and national workshops and activities

* Begin capacity-building programme in 2011
* Success depends on ability to organize a sequence of workshops, not just one
* Workshops are the most visible activities, but supported by complementary interventions
* Longer-term, sustained efforts supported by extra-budgetary funding and ICH Fund

Timetable of the training of trainers workshop: Havana

| **Time** | **Activity** | **Related modules** | **Convention articles** |
| --- | --- | --- | --- |
| **Day 1** | **Monday 28 March 2011** |  |  |
| 09:00-10:30 | Opening ceremony and welcoming remarks  Herman van Hooff (Director, Regional Office for Culture in Latin America and the Caribbean)  Frank Proschan (Section of Intangible Cultural Heritage, UNESCO Headquarters)  Margarita Ruiz (President of the Cuban National Heritage Council)  Juan Antonio Fernández (Ambassador, Head of the Cuban National Commission for UNESCO) |  |  |
| *10:30-10:45* | *Coffee break* |  |  |
| 10:45-12:30 | Introductions of participants | INV 8.1 |  |
| *12:30-14:00* | *Lunch* |  |  |
| 14:00-15:30 | Convention overview | IMP 5.2 (=RAT 2.2, NOM 2.2); INV 8.2 |  |
| *15:30-15:45* | *Coffee break* |  |  |
| 15:45-17:00 | Two Conventions: 2003 and 1972 – questions and answers |  |  |
|  |  |  |  |
| **Day 2** | **Tuesday 29 March 2011** |  |  |
| 9:00-10:30 | Training materials and approaches: overview of topics, kinds of activities, kinds of resources, architecture of workshops, methodologies |  |  |
| *10:30-10:45* | *Coffee break* |  |  |
| 10:45-12:30 | Key concepts | IMP 5.3 (=RAT 2.3, NOM 2.3); INV 8.3 | Preamble, Articles 1 & 2 |
| *12:30-14:00* | *Lunch* |  |  |
| 14:00-15:30 | Safeguarding measures and best practices: Q&A | IMP 5.10; NOM 5.13; INV 8.6 | Articles 2, 11-15, 18 |
| *15:30-15:45* | *Coffee break* |  |  |
| 15:45-17:00 | Who does what in implementing the Convention and the role of communities | IMP 5.7; IMP 5.4; RAT 2.6; NOM 5.12 | Article 15 |
| 17:30-18:30 | Meeting of UNESCO colleagues |  |  |
|  |  |  |  |
| **Day 3** | **Wednesday 30 March 2011** |  |  |
| 09:00-10:30 | ICH and sustainable development | IMP 5.9 |  |
| *10:30-10:45* | *Coffee break* |  |  |
| 10:45-12:30 | ICH inventorying under the convention: Q&A | IMP 5.6; RAT 2.4; NOM 5.4; INV 8.4 | Articles 11 & 12 |
| *12:30-14:00* | *Lunch* |  |  |
| 14:00-15:30 | Workshop on community-based inventorying: overview, architecture, guided tour | INV 8.0 etc. | Articles 11 & 12 |
| *15:30-15:45* | *Coffee break* |  |  |
| 15:45-17:00 | International cooperation and assistance | IMP 5.12; RAT 2.5; NOM 5.5; NOM 5.6 | Articles 19-24 |
| **Day 4** | **Thursday 31 March 2011** |  |  |
| 09:00-10:00 | Workshop on nominations to the USL: overview, architecture, guided tour and technical assessment of nominations | NOM 5.0; NOM 5.6; NOM 5.8, etc. | Article 17 |
| *10:00-10:15* | *Coffee break* |  |  |
| 10:15-12:30 | Nominations to the USL: practicum in examining nominations (pt. 1 – examination) | NOM 5.7 | Article 17 |
| *12:30-14:00* | *Lunch* |  |  |
| 14:00-15:30 | Nominations to the USL: practicum in examining nominations (pt. 2 – reporting back) |  |  |
| *15:30-15:45* | *Coffee break* |  |  |
| 15:45-17:00 | Workshop on nominations to the USL: further activities |  |  |
|  |  |  |  |
| **Day 5** | **Friday 1st April 2011** |  |  |
| 09:00-10:30 | Shared heritage: Q & A |  |  |
| *10:30-10:45* | *Coffee break* |  |  |
| 10:30-12:30 | Quiz Q & A |  |  |
| *12:30-14:00* | *Lunch* |  |  |
| 14:00-14:45 | Next steps: implementing the capacity-building strategy |  |  |
| 14:45-15:30 | Evaluation |  |  |
| *15:30-15:45* | *Coffee break* |  |  |
| 15:45-17:00 | Review and closing |  |  |

Overview of the workshop units

Certain units are shared among several workshops; these are listed first. In some cases these are identical, while in other cases they are similar or overlapping without being identical. For the specific sequence within each workshop, refer to the numbers or to the contents of each workshop.

| **IMP – Implementation of the Convention at the national level** | **RAT – Ratifying the Convention** | **NOM –** **Preparing nominations for the Urgent Safeguarding List** | **INV – Community-based inventorying of intangible cultural heritage** | **Notes** |
| --- | --- | --- | --- | --- |
| Introductory welcome speeches (optional) | Introductory welcome speeches (optional) | Introductory welcome speeches (optional) | Opening ceremony |  |
| IMP 5.1 – Introduction of participants | RAT 2.1 – Introduction of participants | NOM 5.1 – Introduction of participants | INV 8.1 – Introduction of participants | *IMP, RAT, NOM identical* |
| IMP 5.2 – Convention overview | RAT 2.2 – Convention overview | NOM 5.2 – Convention overview | INV 8.2 – Introduction to the Convention | *IMP, RAT, NOM identical* |
| IMP 5.3 – Key concepts | RAT 2.3 – Key concepts | NOM 5.3 – Key concepts | INV 8.3 – Intangible cultural heritage keywords  INV 8.5 – What is intangible cultural heritage, and what forms does it take? | *IMP, RAT, NOM identical; INV devotes two sessions* |
| IMP 5.15 – Evaluation | RAT 2.9 – Evaluation | NOM 5.14 – Evaluation | IMP 8.21 – Evaluation | *All identical methodology; specific questions case by case* |
| IMP 5.6 – ICH inventorying under the Convention | RAT 2.4 – Implementing the Convention at the national level | NOM 5.4 – ICH inventorying under the Convention | INV 8.4 – ICH  inventorying under the Convention | *IMP, NOM similar; INV in greater depth*  *RAT 2.4 overlaps in part with IMP 5.6 and NOM 5.4* |
| IMP 5.10 – Good safeguarding practices | RAT 2.4 – Implementing the Convention at the national level | NOM 5.13 – Safeguarding measures | INV 8.6 – Safeguarding ICH: core concepts and key safeguarding measures | *IMP, NOM similar; INV different pedagogy*  *RAT 2.4 overlaps in part with IMP 5.10 and NOM 5.13* |
| IMP 5.4 – Who can do what?  IMP 5.7 – Involving the communities concerned | RAT 2.6 – Participation of communities and roles of stakeholders in safeguarding | NOM 5.12 – Community participation |  | *Content similar among IMP, RAT and NOM, with greater detail in IMP* |
| IMP 5.11 – Visit to safeguarding project |  | NOM Opt – Visit to safeguarding project  (optional session) |  | *IMP, NOM similar* |
| IMP 5.12 – Implementing the Convention at the international level | RAT 2.5 – Implementing the Convention at the international level | NOM 5.5 – International assistance  NOM 5.6 – Nominations overview |  | *IMP, RAT similar* |
| IMP 5.14 – Summary and conclusion |  |  | INV 8.22 – Overall review of training workshop |  |
| IMP 5.5 – Awareness-raising |  |  |  |  |
| IMP 5.8 – Encouraging practice and transmission of ICH |  |  |  |  |
| IMP 5.9 – Safeguarding ICH and sustainable development |  |  |  |  |
| IMP 5.13 – Policies and institutions (Creating an enabling legal and institutional structure for safeguarding) |  |  |  |  |
|  | RAT 2.7 – Ratification procedures |  |  |  |
|  | RAT 2.8 – Country experiences |  |  |  |
|  |  | NOM 5.7 – Introducing the sample nominations |  |  |
|  |  | NOM 5.8 – Technical assessment of nominations |  |  |
|  |  | NOM 5.9 – Examining the revised nominations |  |  |
|  |  | NOM 5.10 – Inscription (optional session) |  |  |
|  |  | NOM 5.11 – Identifying an element |  |  |
|  |  |  | INV 8.7 – Who to ask, entry into communities |  |
|  |  |  | INV 8.8 – Starting to design an inventory |  |
|  |  |  | INV 8.9 – Interviewing methods: how to ask, how to gain consent and how to respect it |  |
|  |  |  | INV 8.10 – How to operate audio recorders  INV 8.11 – Basics of operating digital cameras |  |
|  |  |  | INV 8.12 – Practice interview session |  |
|  |  |  | INV 8.13 – Finalizing a framework for inventorying |  |
|  |  |  | INV 8.14 – Briefing on field research sites |  |
|  |  |  | INV 8.15 – Organizing research data: good practices  INV 8.16 – Organizing research data: practicum |  |
|  |  |  | INV 8.17 – Fieldwork practicum |  |
|  |  |  | INV 8.18 – Fieldwork debriefing: reports from each team |  |
|  |  |  | INV 8.19 – Organizing research data: working sessions |  |
|  |  |  | INV 8.20 – Revising the inventory framework and planning next steps |  |

Participants

**Expertos regionales / *Regional experts***

| **Apellido / *Surname*** | **Nombre / *Name*** | **Nacionalidad /  *Nationality*** | **Dirección / *Address*** | **e-mail  Teléfono / *Telephone*** |
| --- | --- | --- | --- | --- |
| Bedón Samaniego | Fabián (Sr.) | Ecuador | Instituto Nacional de Patrimonio Cultural  c/ Sucre, 415 y Rocafuerte  Portoviejo  Ecuador | [fabian.bedon@gmail.com](mailto:fabian.bedon@gmail.com) |
| dos Santos Roque | Lucas (Sr.) | Brasil | Rua Itapemirim, 450/102  Serra  Belo Horizonte MG 30.240-000  Brasil | [lucasroque3@hotmail.com](mailto:lucasroque3@hotmail.com) |
| Lacarrieu | Mónica Beatriz (Sra.) | Argentina | c/ Carlos Calvo, 711 (1102)  Ciudad Autónoma  Buenos Aires | [mobla@uolsinectis.com.ar](mailto:mobla@uolsinectis.com.ar)  [monica.lacarrieu@gmail.com](mailto:monica.lacarrieu@gmail.com) |
| López Morales | Francisco Javier (Sr.) | México | María Luisa, 74  México DF 01000  San Ángel | [panchol08@gmail.com](mailto:panchol08@gmail.com) |
| Martínez Ordóñez | Eva Lilia (Sra.) | Honduras | Villa Roy, B° Buenos Aires  A.P. 1518  Tegucigalpa  Honduras | [evam225@yahoo.com](mailto:evam225@yahoo.com) |
| Molano Arenas | Adriana (Sra.) | Colombia | K8 # 8-43  Bogotá D.C.  Colombia | [amolano@mincultura.gov.co](mailto:amolano@mincultura.gov.co)  [sones2006@gmail.com](mailto:sones2006@gmail.com)  Tel: +571 34 24100 ext. 1562 |
| Mújica Bayly | Soledad (Sra.) | Perú | T. Ugarte, 155  Dpto. 501  Miraflores  Lima  Perú | [smujicab@gmail.com](mailto:smujicab@gmail.com)  Tel: +51 1 440 7855 |
| Pérez López | Enrique (Sr.) | México | Avenida Zinacatan No. 02  Colonia Erasto Urbina  San Cristóbal de las Casas  Chiapas, CP 29242  México | [xulubchon@yahoo.com](mailto:xulubchon@yahoo.com)  Tel: +45 967 12 08 037 |
| Rampersad | Kris (Ms) | Trinidad y Tobago | 20 Bedaissie Street  St Augustine South  Trinidad West Indies | [kriscivica@yahoo.com](mailto:kriscivica@yahoo.com) ; [krislit2@yahoo.com](mailto:krislit2@yahoo.com)  Tel: +1 868 390 9367  Tel: +1 868 752 5067 |
| Sánchez | Edis (Sr.) | República Dominicana | c/ Casandra Damirón, 18  Residencial Los Hidalgos  Santo Domingo | [edissanchez@gmail.com](mailto:edissanchez@gmail.com)  Tel: +809 560 0791  Mob: +809 777 9921 |
| Toledo | María Ismenia (Sra.) | Venezuela | Fundación Centro de la Diversidad Cultural  Qta. Micomicona  Avenida Zuloaga con Calle América, Los Rosales  Caracas  VENEZUELA | [mitoledot@gmail.com](mailto:mitoledot@gmail.com)  Tel: +582126939508  Mob: +58414 116 09 67 |

**Personal y consultores de la UNESCO / *UNESCO staff and consultants***

| **Apellido / *Surname*** | **Nombre / *Name*** | **Oficina / *Office*** | **Dirección / *Address*** | **e-mail Teléfono / *Phone Number*** | | |
| --- | --- | --- | --- | --- | --- | --- |
| Deacon | Harriet (Ms) | Formadora internacional / *International Trainer* | 8 Windmill Avenue  KT17 1LL, Epsom  UNITED KINGDOM | | harriet@conjunction.co.za |
| Proschan | Frank (Mr) | Sección del patrimonio cultural inmaterial / *Intangible Cultural Heritage Section*  UNESCO | CLT/CIH/ITH  UNESCO1 rue Miollis 75732 Paris Cedex 15 FRANCE | | f.proschan@unesco.org  Tel: +33 1 45 68 44 27 |
| De Sancristóbal | Berta (Sra.) | Sección del patrimonio cultural inmaterial / *Intangible Cultural Heritage Section*  UNESCO | CLT/CIH/ITH UNESCO 1 rue Miollis 75732 Paris Cedex 15 FRANCE | | [b.de-sancristobal@unesco.org](mailto:b.de-sancristobal@unesco.org)  Tel: +33 1 45 68 41 31 |
| Van Hooff | Herman (Sr.) | Oficina de la UNESCO en La Habana / *UNESCO Office in Havana* | Calzada # 551  Vedado, Apartado postal 4158  La Habana  CUBA | | [h.van-hooff@unesco.org](mailto:h.van-hooff@unesco.org)  Tel: +53 7 833 31 44 |
| Brugman | Fernando (Sr.) | Oficina de la UNESCO en La Habana / *UNESCO Office in Havana* | Calzada # 551  Vedado, Apartado postal 4158  La Habana  CUBA | | [f.brugman@unesco.org.cu](mailto:f.brugman@unesco.org.cu)  Tel: +53 7 833 31 44 |
| Villegas | Tatiana (Sra.) | Oficina de la UNESCO en La Habana / *UNESCO Office in Havana* | Calzada # 551  Vedado, Apartado postal 4158  La Habana  CUBA | | [t.villegas@unesco.org.cu](mailto:t.villegas@unesco.org.cu)  Tel: +537 833 3144 |
| Betancourt | Gilda (Sra.) | Oficina de la UNESCO en La Habana / *UNESCO Office in Havana* | Calzada # 551  Vedado, Apartado postal 4158  La Habana  CUBA | | [g.betancourt@unesco.org.cu](mailto:g.betancourt@unesco.org.cu)  Tel: +537 832 2840, ext. 140 |
| Berrios | Fernando (Sr.) | Oficina de la UNESCO en Lima / *UNESCO Office in Lima* | Av. Javier Prado Este 2465 - 8 piso, Museo de la Nación, San Borja Apartado Postal 41-0192 Lima  PERÚ | | [f.berrios@unesco.org](mailto:f.berrios@unesco.org)  Tel: +51 12 24 25 26 |
| Caraballo | Ciro (Sr.) | Oficina de la UNESCO en México / *UNESCO Office in México* | Av. Pte Masaryk no. 526 3er Piso, Col. Polanco 11560 México D.F.  México | | [c.caraballo@unesco.org](mailto:c.caraballo@unesco.org)  +52 55 36011650 |
| Castellanos | María Fernanda (Sra.) | Oficina de la UNESCO en Guatemala / *UNESCO Office in Guatemala* | 4 Calle 1-57, Zona 10 Guatemala City 01010  GUATEMALA | | [mf.castellanos@unesco.org](mailto:mf.castellanos@unesco.org)  [mf.castellanos@unesco.org.gt](mailto:mf.castellanos@unesco.org.gt)  +502 22470303 |
| Dongiovanni | Enrico (Sr.) | Oficina de la UNESCO en Quito / *UNESCO Office in Quito* | Veintimilla E9-53 entre Plaza y Tamayo Quito  ECUADOR | | [e.dongiovanni@unesco.org](mailto:e.dongiovanni@unesco.org)  +593 2 2528911 |
| Gurung | Himalchuli (Ms) | Oficina de la UNESCO en Kingston / *UNESCO Office in Kingston* | The Towers, 25 Dominica Drive, 3rd Floor  Kingston 5  JAMAICA | | [h.gurung@unesco.org](mailto:h.gurung@unesco.org)  +1 876 630 5305 |
| Núñez Mora | María Gabriela (Sra.) | Oficina de la UNESCO en San José / *UNESCO Office in San José* | Apartado Postal 1003-1007 Centro Colón, San José  COSTA RICA | | [mg.nunez-mora@unesco.org](mailto:mg.nunez-mora@unesco.org)  [gnunez@unesco-cr.org](mailto:gnunez@unesco-cr.org)  +506 20103800 |
| Reis da Silva | Patricia (Sra.) | Oficina de la UNESCO en Brasilia / *UNESCO Office in Brasilia* | SAUS Qd. 5 - Bloco H - Lote 6 Ed. CNPq/IBICT/UNESCO - 9. andar 70070-912 - Brasília, DF  BRASIL | | [patricia.silva@unesco.org.br](mailto:patricia.silva@unesco.org.br)  Tel: +55 61 2106 3572  Mob: +55 61 8116 9866 |
| Tomic | Juan Cristóbal (Sr.) | Oficina de la UNESCO en Santiago de Chile / *UNESCO Office in Santiago de Chile* | Enrique Delpiano 2058 Providencia Santiago de Chile  CHILE | | [jc.tomic@unesco.org](mailto:jc.tomic@unesco.org)  Tel: +562 472 4600  Tel: +562 472 4645 |
| Ureña | Julissa (Sra.) | Oficina Antena en Santo Domingo / *Antenna office in Santo Domingo* |  | | [j.urena@unesco.org](mailto:j.urena@unesco.org)  +1 809 884 5472 |
| Vacheron | Frédéric (Sr.) | Oficina de la UNESCO en Montevideo / *UNESCO Office in Montevideo* | Luis Piera 1992 - 2do piso Montevideo, 11200  URUGUAY | | [cultura@unesco.org.uy](mailto:f.vacheron@unesco.org)  [fvacheron@unesco.org.uy](mailto:fvacheron@unesco.org.uy)  +598 2 4132075 |

**Observadores / *Observers***

| **Apellido / *Surname*** | **Nombre / *Name*** | **Nacionalidad /  *Nationality*** | **Dirección / *Address*** | **e-mail  Teléfono / *Telephone*** |
| --- | --- | --- | --- | --- |
| Arroyo González | Daniel (Sr.) | Perú / *Peru* | Regional Centre for the Safeguarding of the Intangible Cultural Heritage of Latin America - CRESPIAL Calle Maruri S/N 2  piso Complejo Kusicancha Cusco PERU | [darroyo@crespial.org](mailto:darroyo@crespial.org)  Tel: +51 84 242011  Mob: +51 999 668 880 |
| Cabañas Vera | Isaura (Sra.) | Cuba / *Cuba* | Comisión Nacional Cubana para la UNESCO  Ministerio de Relaciones Exteriores  Calzada no. 360 e/ G y H Vedado  Plaza de la Revolución, Ciudad de la Habana  CUBA | [isaura@cncu.minrex.gov.cu](mailto:isaura@cncu.minrex.gov.cu)  Tel: +537 53 21 03;  Tel: +537 881 00 88;  Tel: +537 881 02 13 |
| del Valle Cárdenas | Pablo (Sr.) | Perú / *Peru* | Regional Centre for the Safeguarding of the Intangible Cultural Heritage of Latin America - CRESPIAL Calle Maruri S/N 2  piso Complejo Kusicancha Cusco PERU | [pdelvalle@crespial.org](mailto:pdelvalle@crespial.org)  Tel: +51 84 242011  Mob: +51 984 428419 |
| González Gutiérrez | Alicia (Sra.) | Cuba / *Cuba* | Comisión Nacional Cubana para la UNESCO  Ministerio de Relaciones Exteriores  Calzada no. 360 e/ G y H Vedado  Plaza de la Revolución, Ciudad de la Habana  CUBA | [alicia@cncu.minrex.gov.cu](mailto:alicia@cncu.minrex.gov.cu)  Tel: +537 53 21 03;  Tel: +537 881 00 88;  Tel: +537 881 02 13 |
| Martínez Jiménez | Silvia Rosa (Sra.) | Perú / *Peru* | Regional Centre for the Safeguarding of the Intangible Cultural Heritage of Latin America - CRESPIAL Calle Maruri S/N 2  piso Complejo Kusicancha Cusco PERU | [smartinez@crespial.org](mailto:smartinez@crespial.org)  Tel: +51 84 24 20 11  Tel: +51 992 74 11 68 |
| Perera | Ana Cristina (Sra.) | Cuba / *Cuba* | Consejo Nacional de Patrimonio Cultural  Calle 4 #810 esquina 13, Vedado  Ciudad de La Habana  CUBA | [museocnpc@cubarte.cult.cu](mailto:museocnpc@cubarte.cult.cu) |